

## **Attachment 1**

### Tender Offer Acceptance Procedures and Forms for Ordinary Share

- Attachment 1.1 Tender Offer Acceptance Procedures for Ordinary Share
- Attachment 1.2 Tender Offer Acceptance Form for Holders of Ordinary Share of Delta Electronics (Thailand) Public Company Limited
- Attachment 1.3 Form for Declaration of Cost of Tendered Securities
- Attachment 1.4 Power of Attorney

## Tender Offer Acceptance Procedures for Ordinary Share



**Tender Offer Acceptance Form for Holders of Ordinary Share of Delta Electronics (Thailand) Public Company Limited (the "Acceptance Form")**

To : Tender Offeror and Krungsri Securities Public Company Limited (the "Tender Offer Agent") Acceptance Form No.....

I/We (Mr. /Mrs. /Miss /Juristic Person) ..... Nationality .....

Contact Address .....

Sub District ..... District ..... Province .....

Country ..... Postal Code ..... Contact Telephone ..... Occupation .....

Name/Address of work place .....

Please specify type of seller of ordinary shares of Delta Electronics (Thailand) Public Company Limited

 Thai Individual ID Card / Civil Servant ID Card / State Enterprise Card No. .... Foreign Individual Alien Card / Passport ID No. .... Thai Juristic Person Incorporate Registration No. .... VAT certificate  Yes, Certificate No. ....  No Foreign Juristic Person Incorporate Document No. .... VAT certificate  Yes, Certificate No. ....  No

I/We hereby accept to tender and sell ..... shares (.....),

ordinary shares of Delta Electronics (Thailand) Public Company Limited at the price of Baht 71.00 (Seventy-one) (the net price) per share or total value of Baht ..... subject to terms and conditions stated in the Tender Offer document.

I/We hereby appoint Krungsri Securities Public Company Limited as my/our attorney to sell, transfer and deliver these ordinary shares, as well as to arrange the payment procedure and process, including other necessary matters relevant to the Tender Offer.

<input type="checkbox"/> In case of "Share Certificate"		<input type="checkbox"/> In case of "Scripless Share" (Transfer through TSD)			No. of Shares
Securities Holder's Name	Share Certificate No.	TSD Participant No.	Transfer Slip No.	Transfer Date	
Total					

Payment Condition (Settlement Method) I/we agree to have one of the following: (please select one)

 Transfer payment to my/our bank account at Bank of Ayudhya (BAY) account number -Branch ..... Type of Account  Savings  Current

(The account name has to be the same as the Offeree name, please attach a certified true copy of a passbook savings account or current account statement with this form.)

 Collect Cheque  In-Person  Registered mail as the given address above

I/We hereby attach the following documents for selling transaction including share certificate(s) endorsed with the signature and/or evidence(s) of the transfer of ordinary shares through TSD to the account of "Krungsri Securities PCL. for Tender Offer" Account No. 029-000000098-2 and proof of identity in respect to the transferor/Offeree with certified as true copy as indicated in the details of the Tender Offer document.

I/We have completed KYC/CDD process with the securities broker or custodian which I/we deposit the securities and have submitted the Acceptance Form with my/our signatory(ies) to confirm the completion of KYC/CDD process within the period of 2 years prior to the submission date of this form, to the securities broker or custodian that submits the Acceptance Form and express the intention to sell these securities.

In case where the amount of payment is equal to Baht 100,000 or more, please identify the Beneficial Owner\* accordingly:

In case the Offeree is a natural person  for the Offeree  for another, please specify .....In case the Offeree is a juristic person  for the Offeree  for another, please specify .....

\* the Beneficial Owner means a natural person who ultimately owns or controls a customer or has control over relationship between a customer and the financial institution or a person on whose behalf a transaction is being conducted, including those persons who exercise ultimate effective control over a juristic person

I/We thoroughly examined the terms and conditions contained in the Tender Offer and agree to follow the terms and conditions stated in the Tender Offer document and hereby acknowledge that this form will be completed when TSD completes the transfer of such sold NVDRs to the Tender Offer Agent. I/We certify, represent and warrant that I am/we are the legal and beneficial owner(s) of all such sold ordinary shares free from any mortgage or encumbrance of whatever nature, or third party right ("Encumbrance"); and I/we sell such sold ordinary shares as beneficial owner(s) thereof free from any and all Encumbrances.

Signed ..... Tender Offeree  
Signed ..... Attorney (.....)  
(.....) Date.....

✗

**Receipt of Transfer Scripless Slip and Tender Offer Acceptance Form for Ordinary Share of Delta**

Date..... Receipt No. ....

Krungsri Securities PCL. has received the Acceptance Form of Delta Electronics (Thailand) Public Company Limited and the share certificate(s) and/or evidence(s) of the transfer of scripless ordinary shares through TSD, together with Transfer Scripless Slip through TSD Participant No. ...., totaling ..... shares (.....) from (Mr./Mrs./Miss/Juristic Person) ..... by:

 Transfer payment to my/our bank account at Bank of Ayudhya (BAY) as mentioned above Collect Cheque  In-Person  Registered mail as the given address above

Signature.....Tender Offer Agent

For more information please contact; Mr. Jakkapong Ngamlamyai or Mr. Vichai Meebutphakdee, Operations Department  
Krungsri Securities Public Company Limited, 3rd Floor, Ploenchit Tower, 898 Ploenchit Road, Pathumwan, Bangkok 10330  
Tel: (66) 0-2659-7000 Ext. 8132 or 8131

**Form for Declaration of Cost of Tendered Securities**

(Only for Foreign Juristic Person not carrying on business in Thailand and residing in a country that has no double tax treaty with Thailand or residing in a country that has double tax treaty with Thailand, but such treaty does not exempt the Foreign Juristic Person from withholding tax on the capital gains realized from the sale of securities in Thailand)

Date .....

To: Krungsri Securities Public Company Limited (the "Tender Offer Agent")

We (Foreign Juristic Person) .....

Nationality ..... Tax Identification No. (if any) .....

would like to declare the acquisition cost of our Delta Electronics (Thailand) Public Company Limited's securities to be tendered and offered for sale as follows:

Transfer Date	Securities Certificate No. / Transfer Slip No.	Securities Holders Name as appearing in the registration	No. of Securities (ordinary shares)	Cost per Share (Thai Baht)
<b>Total</b>				

We hereby attach .....

.....

totaling ..... , as the evidence(s) of the cost of the securities for the purpose of withholding tax calculation.

We hereby certify that the above statements are true and correct in all respects.

Signature.....the Tender Offeree

(.....)

**Remark:** Foreign Juristic Person has to declare cost of shares by submitting the Form for Declaration of Cost of Tendered Securities with the evidence of such cost. If this form is submitted with proper evidence of the cost basis, only the Offeree's gain on the sale (if any) will be subject to withholding tax. If the form is not submitted or proper evidence of the cost basis is not attached with the form, the withholding tax of 15% will be imposed on the entire proceeds of the sale instead of the capital gains (assuming the cost of purchase is Baht 0).

Affix  
Stamp Duty

**POWER OF ATTORNEY**  
For Tender Offer Acceptance

Made at .....

Date .....

I/We ..... Age .....

Nationality ..... ID Card/Alien Card/Passport No./Juristic Person No.

..... Reside at (address that can be reached by mail)

.....

..... Postal Code .....

Country ..... as the holder of securities of Delta Electronics  
(Thailand) Public Company Limited as follows:

Ordinary shares/NVDR at the amount of ..... shares/units

hereby authorize (Mr. / Miss / Mrs.) ..... Age .....

Nationality ..... ID Card/Alien Card/Passport No. ....

Reside at (address that can be reached by mail) .....

.....

Postal Code ..... Country .....

(1) to act as my/our attorney to sell, transfer, and deliver such securities/NVDR of Delta  
Electronics (Thailand) Public Company Limited

(2) to collect the cheque

through Krungsri Securities Public Company Limited (the "Tender Offer Agent") and to be  
authorized to do and execute, including certifying as true copy for relevant documents, all such  
other matters in connection with the aforesaid mentioned on my/our behalf until its  
completion.

Any act taken by my/our attorney shall be deemed to be taken by myself/ourselves in every  
respect.

Sign \_\_\_\_\_ Grantor  
( \_\_\_\_\_ )

Sign \_\_\_\_\_ Proxy  
( \_\_\_\_\_ )

Sign \_\_\_\_\_ Witness  
( \_\_\_\_\_ )

Sign \_\_\_\_\_ Witness  
( \_\_\_\_\_ )

Stamp Duty: - Affix a Baht-10 stamp duty, in case of authorization for either Clause (1) or Clause (2)  
- Affix a Baht-30 stamp duty, in case of authorization for both Clauses (1) and (2)

**Note:** Please attach herewith a certified true copy of ID Card/Alien Card/Passport of the Grantor or a  
Certificate of Incorporation, a copy of ID Card/Alien Card/Passport of the authorized person(s) in case of  
a Juristic Person, and a certified true copy of ID Card/Alien Card/Passport of the authorized person(s).

## **Attachment 2**

### Tender Offer Cancellation Procedures and Forms

Attachment 2.1 Tender Offer Cancellation Procedures

Attachment 2.2 Tender Offer Cancellation Form of  
Delta Electronics (Thailand) Public Company Limited

Attachment 2.3 Power of Attorney

## Tender Offer Cancellation Procedures

Securities / NVDR Holder of Delta Electronics (Thailand) Public Company Limited (“Delta”)

- ① Complete the Tender Offer Cancellation Form of Delta (the “**Tender Offer Cancellation Form**”) and attach the Receipt of Transfer Slip, Share Certificate, and the Acceptance Form for Ordinary Share or NVDR, as the case may be  
In case of shares deposited under issuer account No.600: complete the form “Securities transfer/to/from issuer account with participant” (“TSD-403”) per Attachment 5, together with 1 set of identification documents of securities holder, according to the type of person indicating in page 2 of TSD-403

- ② Enclose the following supporting and identification documents with certified as true copy, as the case may be:
- 1) Thai Individual: A certified true copy of valid Identification (“ID”) Card or a copy of valid Civil Servant Identification Card or State Enterprise Employee Card  
 (In case of lifelong identification card or an unexpired civil servant identification card or an unexpired state enterprise official identification card, please also attach a certified true copy of house registration with the pages presenting the address and 13-digit ID card of the Offeree)  
 (if the Offeree is a minor child, the Offeree must attach a consent of his/her guardian (Father and Mother), a certified true copy of his/her guardian’s ID card, a certified true copy of his/her guardian’s and the minor child’s the house registration)
  - 2) Foreign Individual: A certified true copy of a valid alien certificate or a valid passport
  - 3) Thai Juristic Person
    - 3.1) A certified true copy of an affidavit with the most updated information, issued by the Ministry of Commerce no longer than 1 year prior to the submission date of the Tender Offer Cancellation Form, signed by an authorized signatory(ies) of the juristic person affixed with the company seal (if any)
    - 3.2) A certified true copy of identification documents as specified in 1) or 2), as the case may be, of the authorized person(s) who certified document in 3.1)
  - 4) Foreign Juristic Person
    - 4.1) A certified true copy of a certificate of incorporation and an affidavit issued by an officer of the juristic person or an authorized regulatory body of the country where the juristic person is established, certifying the name of the juristic person, the name of an authorized signatory(ies) of juristic person, the address of the head office and conditions of signing authorization, issued not more than 1 year prior to the submission date of the Tender Offer Cancellation Form, list of authorized persons and specimen signatures of authorized signatories and power of attorney (if any), signed by an authorized signatory(ies) of the juristic person together with the company’s seal (if any)
    - 4.2) A certified true copy of the identification documents as specified in 1) or 2), whichever the case may be, of the authorized person(s) who certified document in 4.1)
 Documents in 4.1) and 4.2) must be certified by the Notary Public officer and an official of the Royal Thai Embassy or the Royal Thai Consulate in the country where the documents are prepared or certified, no longer than 1 year prior to the submission date of the Tender Offer Cancellation Form
  - 5) Attach 100 Baht for securities transfer fee per transaction  
In case of securities holder’s authorized representative
    1. Power of attorney affixed with Baht 30 of the stamp duty; and
    2. A certified true copy of additional 1 set of identification documents for the authorized person(s) and securities holder as specified above
- Remark:** The Tender Offer Agent will return securities to the Offeree making a cancellation in the form in which such securities are tendered, the Offeree cannot request to change the form for all securities and shall cancel the total amount of securities intention to sell as specified in each Acceptance Form

③ Kindly submit all documents by 25 March 2019 to:  
 Mr. Jakkapong Ngamlamyai or Mr. Vichai Meebutphakdee  
 Operations Department / Krungsri Securities Public Company Limited  
 3rd Floor, Ploenchit Tower, 898 Ploenchit Road, Pathumwan, Bangkok 10330  
 Telephone: (66) 0-2659-7000 Ext. 8132 or 8131  
**“The Offeror and the Tender Offer Agent will not accept documents sent by mail”**

**Tender Offer Cancellation Form of Delta Electronics (Thailand) Public Company Limited ("Tender Offer Cancellation Form")**

To: Tender Offeror and Krungsri Securities Public Company Limited  
(the "Tender Offer Agent") Cancellation Form No .....

I/We (Mr. /Mrs. /Miss /Juristic Person) .....

Nationality .. Contact Address (that can be reached via mail) .....

Postal Code ..... Country ..... Contact Telephone .....

I/We hereby cancel the intention to sell securities of Delta Electronics (Thailand) Public Company Limited ("Delta") in accordance with the terms and conditions stated in the Tender Offer document

Ordinary share, amount ..... shares  NVDR, amount ..... units

I/We submitted the Acceptance Form on (Date) ....., Acceptance Form No .....

I/We agree to receive such securities/NVDR return in form of:

Share certificate which I/we will contact to receive the share certificate by myself/ ourselves at Operations Department, Krungsri Securities Public Company Limited, 3rd Floor, Ploenchit Tower (on the business day following the submission date of the Tender Offer Cancellation Form)

Scrippless shares/NVDR transfer through TSD and deposit such securities for Participant name ..... Participant No. .... to deposit those securities in securities trading/custodian account no ..... securities trading/custodian account name ..... (on the business day following the submission date of the Tender Offer Cancellation Form)

I/We hereby pay Baht 100 for securities transferring fee per transaction.

Krungsri Securities Public Company Limited will transfer such securities into the securities trading/custodian account and/or return the share certificate on the next business day following the date of which the Offeree or the Attorney submits the Tender Offer Cancellation Form and completed supporting documents.

I/We hereby agree and accept all of the above terms and conditions stated above and agree to comply with the terms and conditions stated in the Tender Offer Document.

Tender Offer Agent ..... Recipient / Offeree .....  
(.....) (.....)

Date .....

Remark : The delivery method of securities will be the same as when the Offeree submitted the tendered securities.

**Delivery of the Share Certificate**

Krungsri Securities Public Company Limited, as the Tender Offer Agent, has already returned the share certificate as described above to the Offeree who expressed the intention to cancel the tender offer and the Offeree has already received the share certificate.

Tender Offer Agent ..... Recipient / Offeree .....  
(.....) (.....)

**Receipt of Tender Offer Cancellation Form of Delta Electronics (Thailand) Public Company Limited**

Date ..... Cancellation Form No .....

Krungsri Securities Public Company Limited has received Tender Offer Cancellation Form of Delta's securities as below:

Ordinary shares ..... shares  NVDR ..... units, from (Mr./Mrs./Miss /Juristic Person) ..... and the Receipt of the Acceptance Form No. ....

Such securities will be returned to the Offeree on the business day following the submission date of the Tender Offer Cancellation Form in form of:

Share certificate to be collectible by myself/ourselves at Operations Department, Krungsri Securities Public Company Limited, 3rd Floor, Ploenchit Tower

Scrippless or NVDR to be transferred through TSD and deposit such securities for Participant name ..... for my/our securities trading/custodian account No ..... account name .....

Remark : The delivery method of securities will be the same as when the Offeree submitted the tendered securities and can be collected on the next business day.

Signature..... Recipient

For more information please contact; Mr. Jakkapong Ngamlamyai or Mr. Vichai Meebutphakdee, Operations Department  
Krungsri Securities Public Company Limited, 3rd Floor, Ploenchit Tower, 898 Ploenchit Road, Pathumwan, Bangkok 10330  
Tel: (66) 0-2659-7000 Ext. 8132 or 8131



Baht-30  
Stamp Duty

**POWER OF ATTORNEY**  
For Tender Offer Cancellation

Made at .....

Date .....

I/We ..... Age .....

Nationality ..... ID Card/Alien Card/Passport ID No./Juristic Person

No. .... Reside at (address that can be reached by mail) .....

.....

..... Postal Code ..... Country .....

hold(s) ordinary share, amount ..... shares and/or NVDR, amount

..... units of Delta Electronics (Thailand) Public Company Limited

hereby authorize (Mr. / Miss / Mrs.) .....

Age ..... Nationality ..... ID Card/Alien Card/Passport ID No.

..... Reside at (address that can be reached by mail)

.....

..... Postal Code ..... Country .....

to act as my/our attorney to cancel my/our intention to accept the tender offer of securities of Delta Electronics (Thailand) Public Company Limited through Krungsri Securities Public Company Limited (the "Tender Offer Agent") and to be authorized to do and execute, including certifying as true copy for relevant documents, all such other matter in connection with the aforesaid mentioned on my/our behalf until its completion.

Any act taken by my/our attorney shall be deemed to be taken by myself/ourselves in every respect.

Sign ..... Grantor  
(.....)

Sign ..... Attorney  
(.....)

Sign ..... Witness  
(.....)

Sign ..... Witness  
(.....)

Note: Please attach herewith a certified true copy of valid ID Card/Alien Card/Passport of the Grantor or Affidavit or Certificate of Incorporation, a copy of valid ID Card/Alien Card/Passport of the authorized person(s), in the case of a Juristic Person and a copy of valid ID Card/Alien Card/Passport of the authorized person(s).

### **Attachment 3**

#### Tender Offer Acceptance Procedures and Forms for Non-Voting Depository Receipt (NVDR)

- Attachment 3.1 Tender Offer Acceptance Procedures for NVDR
- Attachment 3.2 Tender Offer Acceptance Form for Holders of  
NVDR of Delta Electronics (Thailand) Public  
Company Limited
- Attachment 3.3 Form for Declaration of Cost of Tendered  
Securities
- Attachment 3.4 Power of Attorney

## Tender Offer Acceptance Procedures for NVDR



**Tender Offer Acceptance Form for Holders of NVDR of Delta Electronics (Thailand) Public Company Limited (the "Acceptance Form")**

To : Tender Offeror and Krungsri Securities Public Company Limited (the "Tender Offer Agent") Acceptance Form No. ....

I/We (Mr. /Mrs. /Miss /Juristic Person) ..... Nationality .....

Contact Address .....

Sub District ..... District ..... Province .....

Country ..... Postal Code ..... Contact Telephone ..... Occupation .....

Name/Address of work place .....

Please specify type of seller of NVDR ordinary shares of Delta Electronics (Thailand) Public Company Limited

 Thai Individual ID Card / Civil Servant ID Card / State Enterprise Card No. ....

 Foreign Individual Alien Card / Passport ID No. ....

 Thai Juristic Person Incorporate Registration No. .... VAT certificate  Yes, Certificate No. ....  No

 Foreign Juristic Person Incorporate Document No. .... VAT certificate  Yes, Certificate No. ....  No

I/We hereby accept to tender and sell .....units (shares) (.....),

NVDRs representing ordinary shares of Delta Electronics (Thailand) Public Company Limited at the price of Baht 71.00 (Seventy-one) (the net price) per unit (share) or total value of Baht ..... subject to terms and conditions stated in the Tender Offer document.

I/We hereby appoint Krungsri Securities Public Company Limited as my/our attorney to sell, transfer and deliver these NVDRs, as well as to arrange the payment procedure and process, including other necessary matters relevant to the Tender Offer.

Transfer Scripless NVDR					
Transferor/Offeree	TSD Participant No.	Broker/Custodian Name	Transfer Slip No.	Transfer Date	No. of NVDR (Units)
Total NVDRs					

**Payment Condition (Settlement Method)** I/we agree to have one of the following: (please select one)

 Transfer payment to my/our account at Bank of Ayudhya (BAY) account number ---

 Branch ..... Type of Account  Savings  Current

(The account name has to be the same as the Offeree name and please attach a certified true copy of saving account book or current account statement with this form.)

 Collect Cheque  In-Person  Registered mail as the given address above

I/We hereby attach the following documents for selling transaction including evidence of the transfer of NVDRs through TSD to the account of "Krungsri Securities PCL. for Tender Offer" Account No. 029-00000098-2 and proof of identity in respect to the transferor/Offeree with certified as true copy as indicated in the details of the Tender Offer document.

I/We have completed KYC/CDD process with the securities broker or custodian which I/we deposit the securities and have submitted the Acceptance Form with my/our signatory(ies) to confirm the completion of KYC/CDD process within the period of 2 years prior to the submission date of this form, to the securities broker or custodian that submits the Acceptance Form and express the intention to sell these securities.

In case where the amount of payment is equal to Baht 100,000 or more, please identify the Beneficial Owner\* accordingly:

 In case the Offeree is a natural person  for the Offeree  for another, please specify .....

 In case the Offeree is a juristic person  for the Offeree  for another, please specify .....

\* the Beneficial Owner means a natural person who ultimately owns or controls a customer or has control over relationship between a customer and the financial institution or a person on whose behalf a transaction is being conducted, including those persons who exercise ultimate effective control over a juristic person

I/We thoroughly examined the terms and conditions contained in the Tender Offer and agree to follow the terms and conditions stated in the Tender Offer document and hereby acknowledge that this form will be completed when TSD completes the transfer of such sold NVDRs to the Tender Offer Agent. I/We certify, represent and warrant that I am/we are the legal and beneficial owner(s) of all such sold ordinary shares free from any mortgage or encumbrance of whatever nature, or third party right ("Encumbrance"), and I/we sell such sold ordinary shares as beneficial owner(s) thereof free from any and all Encumbrances.

Signed ..... Tender Offeree

Signed ..... Attorney (.....)

(.....) Date.....

✕ .....

**Receipt of Transfer NVDR Slip and the Acceptance Form for NVDR**

Date..... Receipt No. ....

Krungsri Securities Public Company Limited has received the Transfer NVDR Slip and the Acceptance Form for NVDR of Delta Electronics (Thailand) Public Company Limited together with transfer slip through TSD Participant No. ...., totaling ..... units (.....) from (Mr./Mrs./Miss/Juristic Person) ..... by:

 Transfer payment to my/our account at Bank of Ayudhya (BAY) as mentioned above

 Collect Cheque  In-Person  Registered mail as the given address above

Signature.....Tender Offer Agent

 For more information please contact; Mr. Jakkapong Ngamlamyai or Mr. Vichai Meebutphakdee, Operations Department  
 Krungsri Securities Public Company Limited, 3rd Floor, Ploenchit Tower, 898 Ploenchit Road, Pathumwan, Bangkok 10330  
 Tel: (66) 0-2659-7000 Ext. 8132 or 8131

### Form for Declaration of Cost of Tendered Securities

(Only for Foreign Juristic Person not carrying on business in Thailand and residing in a country that has no double tax treaty with Thailand or residing in a country that has double tax treaty with Thailand, but such treaty does not exempt the Foreign Juristic Person from withholding tax on the capital gains realized from the sale of securities in Thailand)

Date .....

To: Krungsri Securities Public Company Limited (the "Tender Offer Agent")

We (Foreign Juristic Person) .....

Nationality ..... Tax Identification No. (if any) .....

would like to declare the acquisition cost of our Delta Electronics (Thailand) Public Company Limited's securities to be tendered and offered for sale as follows:

Transfer Date	Securities Certificate No. / Transfer Slip No.	Securities Holders Name as appearing in the registration	No. of NVDR units (ordinary shares)	Cost per Share (Thai Baht)
<b>Total</b>				

We hereby attach .....

.....  
 totaling ..... , as the evidence(s) of the cost of the securities for the purpose of withholding tax calculation.

We hereby certify that the above statements are true and correct in all respects.

Signature.....the Tender Offeree

(.....)

**Remark:** Foreign Juristic Person has to declare cost of shares by submitting the Form for Declaration of Cost of Tendered Securities with the evidence of such cost. If this form is submitted with proper evidence of the cost basis, only the Offeree's gain on the sale (if any) will be subject to withholding tax. If the form is not submitted or proper evidence of the cost basis is not attached with the form, the withholding tax of 15% will be imposed on the entire proceeds of the sale instead of the capital gains (assuming the cost of purchase is Baht 0).

Affix  
Stamp Duty

**POWER OF ATTORNEY**  
For Tender Offer Acceptance for NVDR

Made at .....

Date .....

I/We ..... Age .....

Nationality ..... ID Card/Alien Card/Passport No./Juristic Person No.

..... Reside at (address that can be reached by mail)

.....

..... Postal Code .....

Country ..... as the holder of securities of Delta Electronics  
(Thailand) Public Company Limited as follows:

NVDR at the amount of ..... units

hereby authorize (Mr. / Miss / Mrs.) ..... Age .....

Nationality ..... ID Card/Alien Card/Passport No. ....

Reside at (address that can be reached by mail) .....

.....

Postal Code ..... Country .....

(1) to act as my/our attorney to sell, transfer, and deliver such securities/NVDR of Delta  
Electronics (Thailand) Public Company Limited

(2) to collect the cheque

through Krungsri Securities Public Company Limited (the "Tender Offer Agent") and to be  
authorized to do and execute, including certifying as true copy for relevant documents, all such  
other matters in connection with the aforesaid mentioned on my/our behalf until its  
completion.

Any act taken by my/our attorney shall be deemed to be taken by myself/ourselves in every  
respect.

Sign \_\_\_\_\_ Grantor  
( \_\_\_\_\_ )

Sign \_\_\_\_\_ Proxy  
( \_\_\_\_\_ )

Sign \_\_\_\_\_ Witness  
( \_\_\_\_\_ )

Sign \_\_\_\_\_ Witness  
( \_\_\_\_\_ )

Stamp Duty: - Affix a Baht-10 stamp duty, in case of authorization for either Clause (1) or Clause (2)  
- Affix a Baht-30 stamp duty, in case of authorization for both Clauses (1) and (2)

**Note:** Please attach herewith a certified true copy of ID Card/Alien Card/Passport of the Grantor or a  
Certificate of Incorporation, a copy of ID Card/Alien Card/Passport of the authorized person(s) in case of  
a Juristic Person, and a certified true copy of ID Card/Alien Card/Passport of the authorized person(s).

**Attachment 4**

Amending securities holder records Form



Thailand Securities Depository

Amending securities holder records

Revenue stamp THB 10 (in case proxy is given)

TSD-301

Date.....

I/We..... national I.D. card/passport/company Registration no..... hereby request the Thailand Securities Depository Co., Ltd. ("TSD") amend the following information pertaining to myself.

Table with 3 columns: Type of information, Previous information, Amended information. Rows include Honoric, First name, For general contacts, Address for sending proxy form, Postal code, Home/Office telephone No., Mobile phone/Fax No., email address, and Other.

- To be effective in time for the book closing date, TSD must receive all documentation at least five working days prior to such date.
The given above mailing address must be able to receive documents and must not be a post office number.

I/ We hereby certify that the aforementioned statements are complete and true. Should TSD incur any direct or indirect damage, obligation or expense due to complying with my request as given above, I/we shall be fully responsible and shall unconditionally compensate TSD in full.

Please turn page over for list of required documents

Signature.....Securities holder (.....) Tel. ....

(If a proxy has been assigned, please sign as both the securities holder and the grantor.)

I/We here by authorize .....as my/our representative, with full authority to file this application. Signature.....Grantor Signature.....Grantee (.....) (.....) Tel. ....

For official use only Date.....Transaction No. ....Checker..... I have already checked all original identification documents Signature.....Officer



Documents to be submitted for amending securities holder records

1. Application for amending securities holder records signed by securities holder.
2. If requesting a change name/ surname, please attach the original share certificate and a copy of the certificate showing a change of name or surname or marriage or divorce certificate as the case may be.
3. Identification documents, according to the type of person. (For more detail, please visit : [www.tsd.co.th](http://www.tsd.co.th) or contact TSD Call Center at +66 (0) 2229 2888)

Type of person	Documents to support request to change name/ surname	Documents for other types of requests
Individual person -Thai Nationality	-The original and a copy of the person's Thai national I.D. card, certified true with an original signature  * <u>If the original of the person's Thai national I.D. card cannot be shown, or the documents are being submitted by registered mail,</u>  please attach a copy of the person's Thai national I.D. card, certified true with an original signature and the original of the document issued by the sub-district or district office to use instead of the original Thai national I.D. card , certified within the past 90 days, as the case may be.	- A copy of the person's Thai national I.D. card, certified true with an original signature.
Individual person -Other Nationalities	-The original and a copy of the signatory's alien identity card or passport, certified true with an original signature.  * <u>If the original passport cannot be shown or the documents are being submitted by registered mail,</u>  - The copy of the passport has to been certified by a notary public, and both the copy and notary public stamp certified by the Thai embassy or consulate within the past 12 months before being submitted to TSD.	- A copy of the signatory's alien identity card or passport, certified true with an original signature.
Juristic person - Thai Nationality	1. A copy of the juristic person certificate issued by the Ministry of Commerce within the past 12 months, with the original signatures of directors authorized to represent the company or their representatives, following the company's conditions.  2. A copy of the national I.D. card /alien identity card / passport of directors who are authorized to represent the company, certified true with original signatures.	
Juristic person - Other Nationalities	1. A copy of the company's registration certificate issued by the regulating government agency.  2. A copy of the juristic person's affidavit of incorporation showing directors authorized to bind the company and conditions relating thereto, showing the company's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the government agency having jurisdiction over the juristic person.  3. A copy of the passport or other official identity document of each authorized director signing this document, certified true with original signature.  <u>All documents for "Other Nationalities" above, must have been :</u>  1. Certified true by a notary public or agency of the government having jurisdiction where the document was executed.  2. Certified true by the Thai embassy or consulate in the country having jurisdiction over the affidavit of incorporation and notary public whose stamp has been affixed.  3. Certified within the past 12 months before being submitted to TSD.  4. Translated into English if necessary.	

**Attachment 5**

Securities transfer to/from issuer account with participant Form



**For official use only**  
 Date \_\_\_\_\_  
 Transaction no. \_\_\_\_\_  
 Checker \_\_\_\_\_

I/We \_\_\_\_\_ would like to transfer securities of mutual fund or company \_\_\_\_\_  
 for a total of \_\_\_\_\_ shares/units amount in letters \_\_\_\_\_ shares/units. The securities are being transferred

<p><input type="radio"/> <b>From issuer account to broker/custodian account</b></p> <p>• <b>Broker or custodian name</b> _____</p> <p>• <b>Customer account name</b> _____</p> <p>• <b>Customer account no.</b> _____</p> <p><b>Signature</b> _____ <b>Securities Holder</b>    ( _____ )    Phone no. _____</p>	<p><input type="radio"/> <b>From broker/custodian account to issuer account</b></p> <p><u>Information on securities holder:</u>    • National I.D. card/Passport/Company Registration No. _____    Nationality _____ Mobile Phone _____ E-mail _____    Mailing address _____ Postal code _____</p> <p><u>Individual Person</u></p> <p>1. Born in the U.S./U.S.Citizen <input type="checkbox"/> Yes <input type="checkbox"/> No    2. U.S. dual nationality <input type="checkbox"/> Yes <input type="checkbox"/> No    3. Permanent Resident in U.S. <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><u>Juristic Person</u></p> <p>1. U.S. entity, registered or has been incorporated in the U.S. <input type="checkbox"/> Yes <input type="checkbox"/> No    (If "yes", Please complete form W-9)    2. Financial institution under the definition of FATCA <input type="checkbox"/> Yes <input type="checkbox"/> No    (Please specify <input type="radio"/> PFFI, <input type="radio"/> RDCFFI)    Its GIIN Number is <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>    3. a Passive NFFE (If "yes", Please complete form W8-BEN-E) <input type="checkbox"/> Yes <input type="checkbox"/> No    Passive NFFE is an entity who either, has earned passive income equal to or more than 50% of total gross income, or held asset that generate passive income equal to or more than 50% of total asset, in the preceding fiscal calendar year</p> <p><b>In addition, I/We acknowledge that TSD may appear in this document disclosure to the organization having agreement with TSD and/or Government agencies that have the legal power to request such information.</b></p> <p><b>I/We agree to notify and provide relevant documents to TSD within 30 days after any change in circumstances that causes the information provided in this form to be incorrect, or after the date that TSD has requested for additional document/ information/ consent.</b></p> <p><b>Signature</b> _____ <b>Securities holder</b>    ( _____ )</p>
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From broker or custodian to TSD

I/We \_\_\_\_\_ participant no. \_\_\_\_\_ have verified all documents and evidence submitted for the issuer's securities transfer and certify that the aforementioned statements are complete and true. Should TSD incur any direct or indirect damage, obligation or expense due to complying with my request as given above. I/We shall be fully responsible and shall unconditionally compensate TSD in full.

Please turn page over for list of required documents

Signature \_\_\_\_\_ Authorized person  
 ( \_\_\_\_\_ )

**Documents to be submitted for securities transfer to/from the issuer account**

1. Application for securities transfer to/from the issuer account signed by securities holder.
2. Identification documents of the securities holder, according to the type of person. In case of other individuals, please contact SET Contact Center as shown at the bottom of the form.

Type of person	Submitted documents for securities transfer to / from the issuer account
<b>Individual Person</b> <b>- Thai Nationality</b>	- A copy of the person's Thai national I.D. Card, certified true with an original signature.
<b>Individual Person</b> <b>- Other Nationalities</b>	- A copy of the signatory's alien identity card or passport, certified true with an original signature.
<b>Juristic Person</b> <b>- Thai Nationality</b>	1. A copy of the juristic person certificate issued by the Ministry of Commerce within the past 12 months, with the original signatures of directors authorized to represent the company or their representatives, following the company's conditions. 2. A copy of the national I.D. card/alien identity card/passport of directors who are authorized to represent the company, certified true with original signatures.
<b>Juristic Person</b> <b>- Other Nationalities</b>	1. A copy of the company's registration certificate issued by the regulating government agency. 2. A copy of the juristic person's affidavit of incorporation showing directors authorized to bind the company and conditions relating thereto, showing the company's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the government agency having jurisdiction over the juristic person. 3. A copy of the passport or other official identity document of each authorized director signing this document, certified true with an original signature. <u>All documents for "Other Nationalities" above, must have been :</u> (1) The notary public or any competent authority in the country where such documents were prepared or certified correct, shall certify the signature of the person who prepared such documents, or who certified the accuracy of such documents. (2) An official of the Thai Embassy or Thai Consulate in the country where such documents were prepared or certified correct, shall certify the signature and the seal of the notary public or any other authority which performed the act under (1). (3) Certified within the past 12 months before being submitted to TSD. (4) Translated into English if necessary.